

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		09819462
	Filing Date		2001-03-28
	First Named Inventor	BAUMANN ET AL.	
	Art Unit	3694	
	Examiner Name	MILEF, ELDA G.	
	Attorney Docket Number	END920000165US1	

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4799156		1989-01-17	Shavit et al.	
	2	4947028		1990-08-07	Gorog	
	3	5021953		1991-06-04	Webber et al.	
	4	5117356		1992-05-26	Marks	
	5	5168444		1992-12-01	Cukor et al.	
	6	5189608		1993-02-23	Lyons et al.	
	7	5319542		1994-06-07	King, Jr. et al.	
	8	5335169		1994-08-02	Chong	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	9	5361393		1994-11-01	Rossillo	
	10	5390113		1995-02-14	Sampson	
	11	5544298		1996-08-06	Kanavy et al.	
	12	5640550		1997-06-17	Coker	
	13	5666493		1997-09-09	Wojcik et al.	
	14	5694551		1997-12-02	Doyle et al.	
	15	5712989		1998-01-27	Johnson et al.	
	16	5715453		1998-02-03	Stewart	
	17	5721832		1998-02-24	Westrope et al.	
	18	5737592		1998-04-07	Nguyen et al.	
	19	5758327		1998-05-26	Gardner et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	20	5758351		1998-05-26	Gibson et al.	
	21	5781908		1998-07-14	Williams et al.	
	22	5794207		1998-08-11	Walker et al.	
	23	5799151		1998-08-25	Hoffer	
	24	5802518		1998-09-01	Karaev et al.	
	25	5815829		1998-09-29	Zargar	
	26	5838906		1998-11-17	Doyle et al.	
	27	5838916		1998-11-17	Domenikos et al.	
	28	5870717		1999-02-09	Wiecha	
	29	5918017		1999-06-29	Attanasio et al.	
	30	5963922		1999-10-05	Helmering	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	31	5963925		1999-10-05	Kolling et al.	
	32	5974407		1999-10-26	Sacks	
	33	6003039		1999-12-14	Barry et al.	
	34	6006204		1999-12-21	Malcolm	
	35	6014134		2000-01-11	Bell et al.	
	36	6016394		2000-01-18	Walker	
	37	6023683		2000-02-08	Johnson et al.	
	38	6023729		2000-02-08	Samuel et al.	
	39	6029150		2000-02-22	Kravitz	
	40	6032145		2000-02-29	Beall et al.	
	41	6032150		2000-02-29	Nguyen	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	42	6047268		2000-04-04	Bartoli et al.	
	43	6052785		2000-04-18	Lin et al.	
	44	6055516		2000-04-25	Johnson et al.	
	45	6055519		2000-04-25	Kennedy et al.	
	46	6058373		2000-05-02	Blinn et al.	
	47	6058381		2000-05-02	Nelson	
	48	6064977		2000-05-16	Haverstock et al.	
	49	6094654		2000-07-25	Van Huben et al.	
	50	6104717		2000-08-15	Coile et al.	
	51	6112242		2000-08-29	Jois et al.	
	52	6115690		2000-09-05	Wong	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	53	6125363		2000-09-26	Buzzeo et al.	
	54	6128602		2000-10-03	Northington et al.	
	55	6144991		2000-11-07	England	
	56	6151608		2000-11-21	Abrams	
	57	6163805		2000-12-19	Silva et al.	
	58	6185545	B1	2001-02-06	Resnick et al.	
	59	6199113	B1	2001-03-06	Alegre et al.	
	60	6208345	B1	2001-03-27	Sheard et al.	
	61	6219680	B1	2001-04-17	Bernardo et al.	
	62	6239797	B1	2001-05-29	Hills et al.	
	63	6240399	B1	2001-05-29	Frank et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	64	6260024	B1	2001-07-10	Shkedy	
	65	6269343	B1	2001-07-31	Pallakoff	
	66	6314408	B1	2001-11-06	Salas et al.	
	67	6317751	B1	2001-11-13	Yeger et al.	
	68	6330575	B1	2001-12-11	Moore et al.	
	69	6336114	B1	2002-01-01	Garrison	
	70	6343284	B1	2002-01-29	Ishikawa et al.	
	71	6343287	B1	2002-01-29	Kumar et al.	
	72	6356283	B1	2002-03-12	Guedalia	
	73	6360211	B1	2002-03-19	Anderson et al.	
	74	6381644	B2	2002-04-30	Munguia et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	75	6401120	B1	2002-06-04	Gamache et al.	
	76	6418415	B1	2002-07-09	Walker et al.	
	77	6418420	B1	2002-07-09	DiGiorgio et al.	
	78	6418467	B1	2002-07-09	Schweitzer et al.	
	79	6430538	B1	2002-08-06	Bacon et al.	
	80	6449744	B1	2002-09-10	Hansen	
	81	6452609	B1	2002-09-17	Katinsky et al.	
	82	6453353	B1	2002-09-17	Win et al.	
	83	6460041	B2	2002-10-01	Lloyd	
	84	6466949	B2	2002-10-15	Yang et al.	
	85	6466980	B1	2002-10-15	Lumelsky et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	86	6477510	B1	2002-11-05	Johnson	
	87	6486891	B1	2002-11-26	Rice	
	88	6499036	B1	2002-12-24	Gurevich	
	89	6516327	B1	2003-02-04	Zondervan et al.	
	90	6523027	B1	2003-02-18	Underwood	
	91	6535294	B1	2003-03-18	Arledge Jr et al.	
	92	6539396	B1	2003-03-25	Bowman-Amuah	
	93	6578068	B1	2003-06-10	Bowman-Amuah	
	94	6589290	B1	2003-07-08	Maxwell et al.	
	95	6601084	B1	2003-07-29	Bhaskaran et al.	
	96	6606643	B1	2003-08-12	Emens et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

97	6631382	B1	2003-10-07	Kouchi et al.	
98	6643690	B2	2003-11-04	Duursma et al.	
99	6668253	B1	2003-12-23	Thompson et al.	
100	6681229	B1	2004-01-20	Cason et al.	
101	6684384	B1	2004-01-27	Bickerton et al.	
102	6686932	B2	2004-02-03	Rath et al.	
103	6687731	B1	2004-02-03	Kavak	
104	6704612	B1	2004-03-09	Hahn-Carlson	
105	6725264	B1	2004-04-20	Christy	
106	6760775	B1	2004-07-06	Anerousis et al.	
107	6772167	B1	2004-08-03	Snively et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	108	6785822	B1	2004-08-31	Sadhwani-Tully	
	109	6801949	B1	2004-10-05	Bruck et al.	
	110	6834294	B1	2004-12-21	Katz	
	111	6839680	B1	2005-01-04	Liu et al.	
	112	6853630	B1	2005-02-08	Manning	
	113	6886134	B1	2005-04-26	Cason	
	114	6922671	B2	2005-07-26	Musa et al.	
	115	6928411	B1	2005-08-09	Fox et al.	
	116	6934690	B1	2005-08-23	Van Horn et al.	
	117	6947063	B1	2005-09-20	Cirulli et al.	
	118	6950983	B1	2005-09-27	Snavelly	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

	119	6965938	B1	2005-11-15	Beasley et al.	
	120	6971107	B2	2005-11-29	Sjostrom et al.	
	121	7003474	B2	2006-02-21	Lidow	
	122	7133868	B1	2006-11-07	Ruest et al.	
	123	7155403	B2	2006-12-26	Cirulli et al.	
	124	7171374	B1	2007-01-30	Sheehan et al.	
	125	7197480	B1	2007-03-27	Chollon et al.	
	126	7243077	B2	2007-07-10	Broden et al.	
	127	7266503	B2	2007-09-04	Cason et al.	
	128	7283976	B2	2007-10-16	Aber et al.	
	129	7356496	B2	2008-04-08	Kane et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09819462
Filing Date	2001-03-28
First Named Inventor	BAUMANN ET AL.
Art Unit	3694
Examiner Name	MILEF, ELDA G.
Attorney Docket Number	END920000165US1

	130	7386495	B2	2008-06-10	Cirulli et al.	
--	-----	---------	----	------------	----------------	--

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20010011222	A1	2001-08-02	MCLAUCHLIN et al.	
	2	20010051913	A1	2001-12-13	Vashistha et al.	
	3	20020055878	A1	2002-05-09	Burton et al.	
	4	20020087441	A1	2002-07-04	Wagner, JR. et al.	
	5	20020091597		2002-07-11	Teng	
	6	20020093538	A1	2002-07-18	Carlin	
	7	20020103731	A1	2002-08-01	BARNARD et al.	
	8	20020107890	A1	2002-08-08	Gao et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		09819462
Filing Date		2001-03-28
First Named Inventor	BAUMANN ET AL.	
Art Unit	3694	
Examiner Name	MILEF, ELDA G.	
Attorney Docket Number	END920000165US1	

9	20020128944	A1	2002-09-12	Crabtree et al.	
10	20020138281	A1	2002-09-26	Cirulli et al.	
11	20020138658	A1	2002-09-26	Sjostrom et al.	
12	20020143699	A1	2002-10-03	Baumann et al.	
13	20020161606	A1	2002-10-31	Bennett et al.	
14	20020161667	A1	2002-10-31	Felkey et al.	
15	20020184111	A1	2002-12-05	Swanson	
16	20020184125	A1	2002-12-05	Cirulli et al.	
17	20030074580	A1	2003-04-17	Knouse et al.	
18	20030093320	A1	2003-05-15	Sullivan	
19	20030139996	A1	2003-07-24	D'Antoni et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	09819462
Filing Date	2001-03-28
First Named Inventor	BAUMANN ET AL.
Art Unit	3694
Examiner Name	MILEF, ELDA G.
Attorney Docket Number	END920000165US1

20	20030187688	A1	2003-10-02	Fey et al.	
21	20040015367	A1	2004-01-22	Nicastro et al.	
22	20040078275	A1	2004-04-22	Ismert et al.	
23	20050097016	A1	2005-05-05	Barnard et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² ;	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	GB2082814A	GB	A	1982-03-10	Branning		<input type="checkbox"/>
	2	WO9849639	WO	A1	1998-11-05	Lawrie		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Notice of Allowance (Mail Date 07-12-2010) for Patent Application No. 11/973,936, Filing Date 10-11-2007; Attorney Docket No. END920000175US2; Art Unit 3627; Confirmation No. 3987.	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09819462
Filing Date	2001-03-28
First Named Inventor	BAUMANN ET AL.
Art Unit	3694
Examiner Name	MILEF, ELDA G.
Attorney Docket Number	END920000165US1

2	Notice of Allowance (Mail Date 07-22-2010) for Patent Application No. 11/638,276, Filing Date 12-13-2006; Attorney Docket No. END920000105US2; Art Unit 3627; Confirmation No. 3334.	<input type="checkbox"/>
3	Notice of Allowance (Mail Date 07-12-2010) for Patent Application No. 11/999,109, Filing Date 12-04-2007; Attorney Docket No. END920000168US2; Art Unit 3687; Confirmation No. 7955.	<input type="checkbox"/>
4	Lotus Development Corp., an IBM Subsidiary. Lotus Domino: Application Development with Domino Designer, Rel. 5. USA, privately printed, 1998. i-vii, 425-430.	<input type="checkbox"/>
5	Lotus Development Corp., an IBM Subsidiary. Lotus Domino: Domino Enterprise Integration Guide, Rel. 5. USA, privately printed, 1998. 9-20, 107-122, 444-451.	<input type="checkbox"/>
6	BERNERS-LEE et al.; "Uniform Resource Locators (URL)"; Request for Comments (RFC) 1738; December 1994. (as cited on PTO-892 of related Application No. 09/657,215, now Patent 6,965,938 issued 11-15-2005).	<input type="checkbox"/>
7	BROWN et al.; "Mastering Lotus Notes"; pp. 6-15, 486-483; ISBM 0782113028; 1995. (as cited on PTO-892 of related Application No. 09/657,215, now Patent 6,965,938 issued 11-15-2005).	<input type="checkbox"/>
8	TEWARI et al.; "High Availability in Clustered Multimedia Servers"; Proceedings of the Twelfth International Conference on Data Engineering; pp. 645-654; February 1996. (as cited on PTO-892 of related Application No. 09/657,215, now Patent 6,965,938 issued 11-15-2005).	<input type="checkbox"/>
9	CARDELLINI et al.; "Redirection algorithms for load sharing in distributed Web-server systems"; Proceedings. 19th IEEE International Conference on Distributed Computing Systems; pp.528-535; May 1999. (as cited on PTO-892 of related Application No. 09/657,215, now Patent 6,965,938 issued 11-15-2005).	<input type="checkbox"/>
10	JOHN D'ESPOSITO. Proxy Pass Implementation in GWA. Undated. 13 pages. Published before Sep. 6, 2000 on the Internet at <http://w3.ibm.com/media/juke/presenter/gwa/proxy/proxy.html>. (as cited on related Application No. 09/657,217, now Patent 6,947,063 issued 09-20-2005).	<input type="checkbox"/>
11	Sun Microsystems, Inc. Java 2 Enterprise Edition Technical Documentation. Copyright 1999. 1 page. (cited on related Application No. 09/656,803, now Patent 6,772,167 issued 08-03-2004).	<input type="checkbox"/>
12	Sun Microsystems, Inc. Java 2 Enterprise Edition Developer's Guide. Copyright 1999. Table of Contents (7 pages) and Chapter 8 "Security" (10 pages). (cited on related Application No. 09/656,803, now Patent 6,772,167 issued 08-03-2004).	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09819462
Filing Date	2001-03-28
First Named Inventor	BAUMANN ET AL.
Art Unit	3694
Examiner Name	MILEF, ELDA G.
Attorney Docket Number	END920000165US1

13	Dynamic HTML in Action Written by William J. Pardi and Eric Schurman, 1998. (as cited on PTO-892 of related Application No. 09/657,195, now Patent 6,886,134 issued 04-26-2005).	<input type="checkbox"/>
14	All Open Orders For Customer, Nov. 23, 1999, Motorola.* (*cited by examiner - as cited on Patent 6,922,671 issued 07-26-2005 for related case [Application No. 09/815,320]).	<input type="checkbox"/>
15	ELAINE MARMELE and DIANE KOERS, Peachtree 8 For Dummies, © 2000, Hungry Minds, Inc.* (*cited by examiner - as cited on Patent 6,922,671 issued 07-26-2005 for related case [Application No. 09/815,320]).	<input type="checkbox"/>
16	DAVID KROENKE, Database Processing, © 1983, 1977, Science Research Associates, Inc.	<input type="checkbox"/>
17	DEITEL & DEITEL, Java How To Program, © 1998, 1997, Prentice-Hall.* (*cited by examiner - as cited on Patent 6,922,671 issued 07-26-2005 for related case [Application No. 09/815,320]).	<input type="checkbox"/>
18	State of Texas Statewide Electronic Commerce Feasibility Study; May 15, 1998; Phoenix Planning & Evaluation, LTD. Rockville, MD 20852.x.* (*cited by examiner - as cited on Patent 6,922,671 issued 07-26-2005 for related case [Application No. 09/815,320]).	<input type="checkbox"/>
19	YEHUDA SHIRAN; Sharing functions between frames, July 29, 2000. (as cited on PTO-892 of related Application No. 09/815,316, now Patent 6,971,107 issued 11-29-2005).	<input type="checkbox"/>
20	JavaScript and Frames, Part II- The Famous Memory Game, April 5, 1999. (as cited on PTO-892 of related Application No. 09/815,316, now Patent 6,971,107 issued 11-29-2005).	<input type="checkbox"/>
21	Tom Negrino, JavaScript for the World Wide Web: Visual QuickStar Guide (3rd Edition), 2000. (as cited on PTO-892 of related Application No. 09/815,316, now Patent 6,971,107 issued 11-29-2005).	<input type="checkbox"/>
22	Baron et al.; "Web-based E-catalog systems inB2B procurement", Association for Computing Machinery, May 2000, Vol. 43 No. 5, page 93+. (as cited on PTO-892 of related Application No. 09/815,313, now Patent 7,283,976 issued 10-16-2007).	<input type="checkbox"/>
23	van Riel et al.; "Exploring consumer evaluations of e-services: a portal site", International Journal of Service Industry Management, 2001, Vol. 12 No. 3, page 359+. (as cited on PTO-892 of related Application No. 09/815,313, now Patent 7,283,976 issued 10-16-2007).	<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09819462
Filing Date	2001-03-28
First Named Inventor	BAUMANN ET AL.
Art Unit	3694
Examiner Name	MILEF, ELDA G.
Attorney Docket Number	END920000165US1

24	USBI bill dated July 22, 1998. two web site pages with background on USBI (www.billview.com and www.billing concepts.com). (as cited on PTO-892 of related Application No. 09/816,264, now Patent 7,386,495 issued 06-10-2008).	<input type="checkbox"/>
25	"IBM and MarketMile Forge e-business On Demand Alliance". Business Editors/High-Tech Writers. Business Wire. Feb 19, 2002. [recovered from Dialog database 07/02/2007]. (as cited on PTO-892 of related Application No. 09/798,598, now Patent 7,243,077 issued 07-10-2007).	<input type="checkbox"/>
26	"Supply Side e-conomics". Duey, Rhonda. Oil and Gas Investor. May 2000 [recovered from DIALOG database 07-02-2007]. (as cited on PTO-892 of related Application No. 09/798,598, now Patent 7,243,077 issued 07-10-2007).	<input type="checkbox"/>
27	Lisa Valentine. "Banks' accounting systems showing their age." Bank Technology News 1 Nov. 1998: Banking Information Source, ProQuest. Web. 13 Jul. 2010. (as cited on PTO-892 of related Application No. 11/999,109).	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.